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PTO/SB/01A (10-00).

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF PANCREATIC CANCER
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 10/060,036, filed on January 30, 2002,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

### FULL NAME OF INVENTOR(S)

Inventor one:	<u>Darin R. Benson</u>	Citizen of:	<u>US</u>
Signature:	<u><i>Darin R. Benson</i></u>	Date:	<u>3/20/02</u>

Inventor two:	<u>Michael D. Kalos</u>	Citizen of:	<u>US</u>
Signature:	<u><i>Michael D. Kalos</i></u>	Date:	<u>4/1/02</u>

Inventor three:	<u>Michael J. Lodes</u>	Citizen of:	<u>US</u>
Signature:	<u><i>Michael J. Lodes</i></u>	Date:	<u>3-29-02</u>

Inventor four:	<u>David H. Persing</u>	Citizen of:	<u>US</u>
Signature:	<u><i>David H. Persing</i></u>	Date:	<u>4-5-02</u>

X Additional inventors are being named on one additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

Page 2 of 2

## FULL NAME OF INVENTOR(S)

Inventor five William T. Hepler Citizen of: US

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor six Yuqiu Jiang Citizen of: Peoples Republic of ChinaSignature: [Signature] Date: 3/29/02

Inventor seven \_\_\_\_\_ Citizen of: \_\_\_\_\_

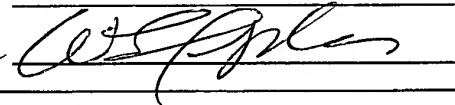
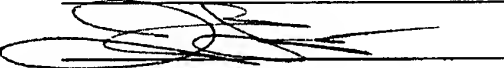
Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor eight \_\_\_\_\_ Citizen of: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

## Page 2 of 2

Inventor five	William T. Hepler	Citizen of:	US
Signature:		Date:	4/02/02
Inventor six	Yuqiu Jiang	Citizen of:	Peoples Republic of China
Signature:		Date:	3/29/02
Inventor seven		Citizen of:	
Signature:		Date:	
Inventor eight		Citizen of:	
Signature:		Date:	

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Darin R. Benson et al.

Application No./Patent No.: 10/060,036 Filed/Issue Date: January 30, 2002

Entitled: COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF PANCREATIC CANCER

Corixa Corporation, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2 May 2002  
Date

Kathleen Nora McKereghan  
Typed or printed name

[Signature]  
Signature

Senior Vice President, General Counsel and Secretary  
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Darin R. Benson	723 North 48 <sup>th</sup> Street, Seattle, Washington 98103	;
Michael D. Kalos	8116 Dayton Avenue North, Seattle, Washington 98103	;
Michael J. Lodes	9223 36 <sup>th</sup> Avenue Southwest, Seattle, Washington 98126	;
David H. Persing	22401 Northeast 25 <sup>th</sup> Way, Redmond, Washington 98053	;
William T. Hepler	12034 38 <sup>th</sup> Avenue Northeast, Seattle, Washington 98125	; and
Yuqiu Jiang	5001 South 232 <sup>nd</sup> Street, Kent, Washington 98032	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

### COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF PANCREATIC CANCER

which is described and claimed in the specification and claims of Patent Application No. 10/060,036, as filed in the United States Patent and Trademark Office on January 30, 2002 ("this application") for which a patent is sought. This application derives benefit from the following applications: U.S. Provisional Application No. 60/333,626 filed November 27, 2001; U.S. Provisional Application No. 60/305,484, filed July 12, 2001; U.S. Provisional Application No. 60/265,305, filed January 30, 2001; U.S. Provisional Application No. 60/267,568, filed February 9, 2001; U.S. Provisional Application No. 60/313,999, filed August 20, 2001; U.S. Provisional Application No. 60/291,631, filed May 16, 2001; U.S. Provisional Application No. 60/287,121, filed April 27, 2001; U.S. Provisional Application No. 60/278,651, filed March 21, 2001, and U.S. Provisional Application No. 60/265,682, filed January 31, 2001.

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest



in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behalf, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention,

for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

3/29/02  
Date

Darin R. Benson  
Signature of Darin R. Benson

State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Darin R. Benson is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 29, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

9/1/02  
Date  
State of WASHINGTON)  
County of KING) ss.  
Signature Michael D. Kalos

I certify that I know or have satisfactory evidence that Michael D. Kalos is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 4, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

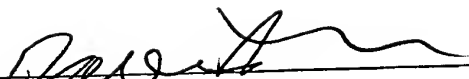
3-29-02  
Date  
State of WASHINGTON)  
County of KING) ss.  
Signature of Michael J. Lodes

I certify that I know or have satisfactory evidence that Michael J. Lodes is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 29, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02




Date 4/5/02

  
Signature of David H. Persing

State of WASHINGTON)  
County of KING)

ss.

I certify that I know or have satisfactory evidence that David H. Persing is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 5, 2002  
Signature of Notary Public   
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

Date \_\_\_\_\_  
State of WASHINGTON)  
County of KING)

Signature of William T. Hepler

ss.

I certify that I know or have satisfactory evidence that William T. Hepler is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of Notary Public \_\_\_\_\_  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of David H. Persing

State of WASHINGTON )

County of KING )

ss.

I certify that I know or have satisfactory evidence that David H. Persing is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

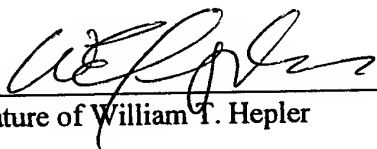
Dated \_\_\_\_\_

Signature of  
Notary Public \_\_\_\_\_

Printed Name \_\_\_\_\_

My appointment expires \_\_\_\_\_

4/02/02  
Date

  
Signature of William T. Hepler

State of WASHINGTON )

County of KING )

ss.

I certify that I know or have satisfactory evidence that William T. Hepler is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

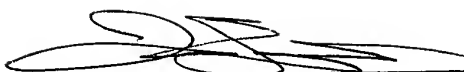
Dated \_\_\_\_\_

Signature of  
Notary Public \_\_\_\_\_

Printed Name \_\_\_\_\_

My appointment expires \_\_\_\_\_

3/29/02  
Date  
WASHINGTON  
State of )  
KING  
County of ) ss.

  
Signature of Yuqiu Jiang

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 29, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

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